
2105 ACCEPTANCE OF AWARDS

EFFECTIVE: DEC. 1986
REVIEWED: MAY 2018
REVISED: MAY 2017
RESPONSIBLE OFFICE: RESEARCH SERVICES
APPROVAL: RESEARCH SERVICES

PURPOSE

To ensure that grants, contracts, and other agreements whether monetary or not, supporting sponsored projects are in compliance with University policies.

POLICY

It is the policy of the University that, prior to any commitments, either formal or informal, agreements for sponsored projects shall be reviewed and approved by the Office of Research Services, the Office of Clinical Research, or the Penn Center for Innovation and, when appropriate, the Office of the Vice President and General Counsel.

1. Authority to execute such agreements on behalf of The Trustees of the University of Pennsylvania has been delegated to the Vice Provost for Research and the Office of Research Services, the Office of Clinical Research, and the Penn Center for Innovation. Principal Investigators, Department Chairs and School Deans are not authorized to execute sponsored projects agreements on behalf of the University.
2. Agreements that may conflict with University policies may be referred to the Vice Provost for Research, the Office of General Counsel, or other appropriate University offices for review and approval prior to acceptance.
3. The Vice Provost for Research may refer such documents to University advisory committees for a final recommendation on their acceptance.

ROLES AND RESPONSIBILITIES:

OFFICE OF CLINICAL RESEARCH

- Ensuring that the agreement is in compliance with University policies;
- Execute agreement and;
- Consult with OGC, Penn Center for Innovation, the Vice Provost for Research and other appropriate University offices, as warranted

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PENN CENTER FOR INNOVATION

- Ensuring that the agreement is in compliance with University policies;
- Execute agreement and; Consult with OGC, the Vice Provost for Research, and other University offices, as warranted;
- Provision of advice and any necessary authority regarding intellectual property issues.

PRINCIPAL INVESTIGATORS

- Comply with terms and conditions of sponsored research projects (i.e.: deliverables)

VICE PROVOST FOR RESEARCH:

- Provision of guidance as warranted (e.g., on important or novel subjects)

NOTE: Principal Investigators, Department Chairs and School Deans are not authorized to execute sponsored projects agreements on behalf of the University.

The source of this document is Research Services.